RJM Plan – Trade 9 – March 2013 Lundbeck A/S

Message

From: solotradeapprovals@solo.com [solotradeapprovals@solo.com]

Sent: 21/03/2013 14:11:15 **To**: rmills@gulfmg.com

CC: sp@novus-cap.com; solotradeapprovals@solo.com

Subject: Account (GMG01) - Trade Approved

Dear Client,

In relation to the trade referred to below (*Trade*), Solo Capital Partners LLP approves such Trade (in accordance with the Addendum to the International Uniform Brokerage Execution Services Agreement: Trader Version 2008) on the following basis:

- (i) You may seek liquidity for the Trade (via the Broker that you have identified), and
- (ii) If appropriate liquidity is found, the Trade is executable in its entirety only (that is, on a fill or kill basis) partial execution of the Trade is not approved.

Subject to (i) and (ii) above, Solo Capital Partners LLP will irrevocably accept to effect the clearing of the Trade.

In case of any queries, please contact <u>custody@solo.com</u>.

Global Securities Services

Solo Capital Partners LLP

Details of Trade:

Trade TypeSellTickerLUN DCInstrumentEquityCurrencyDKKPrice103.2481

Price 103.2481 Quantity/Contracts 1,060,000

 Shapes
 Shape 1 1,060,000

 Notional
 109,442,986.0000

 Trade Date
 21/03/2013

 Settlement Date
 27/03/2013

Broker Novus Capital Markets Limited

Message

From: solotradeapprovals@solo.com [solotradeapprovals@solo.com]

Sent: 26/03/2013 15:38:40 **To**: info@aquila-grp.com

CC: solotradeapprovals@solo.com
Subject: Account (AQU01) - Trade Approved

Dear Client,

Please accept this email as confirmation that the below stock loan transaction has been approved and booked to your Solo Capital Partners LLP custody account.

In case of any queries, please contact custody@solo.com.

Global Securities Services

Solo Capital Partners LLP

Details of Stock Loan Transaction:

Trade Type

TickerLUN DCInstrumentEquityCurrencyDKKPrice103.2481Quantity/Contracts1,060,000

Shapes Shape 1 1,060,000 **Notional** 109,442,986.0000

 Trade Date
 26/03/2013

 Settlement Date
 27/03/2013

SideLendHaircutNoneTermOpen

Cash Rebate Interest DKK LIBOR

Cash Rebate Spread +70

Stock Rebate Interest DKK LIBOR

Stock Rebate Spread +4.875
Cash Pool Type fixed
Dividends 100%

Counterparty Gulf Management Group